



Indian Institute of
Corporate Affairs
Partners in Knowledge, Governance, Transformation.



NINE MONTHS CERTIFICATE COURSE ON BUSINESS AND FINANCIAL LAWS (ONLINE) FIFTH BATCH September 2023 - May 2024



ABOUT THE COURSE

The business and financial Laws are constantly evolving and adapting to new approaches considering the present day needs of the stakeholders. The modern commercialism flourishes within a constantly expanding framework of regulation, resulting in the emergence of new actors. The certificate programme will provide participants with an in-depth understanding, and expertise in a number of key areas of business and financial laws, as well as with skills and enable them to apply, analyse and think critically. In order to achieve these objectives, the course would draw on a huge number of sources and depends on an interdisciplinary approach to law, based on the insights of business and financial laws.

The course is designed for participants who wish to pursue a career within business and financial laws, and would be ideal for business lawyers; prospective insolvency professionals, update the knowledge and skills of working officials, professionals and executives in various types of organizations operating in the sphere of business and financial laws; and prepare the participants to understand the practical implications of law through case-studies and problem solving techniques. It would also be useful to the participants who wish to enhance their understanding on the subjects.



REGISTRATION:

- Fifth Batch : September 2023 - May 2024
- Registration Open : 01st May 2023
- Last Date for Registration : 15th September 2023
- Commencement of Classes : 30th September 2023
- More details : www.iica.in





KEY FEATURES

- The Course delivery will be through virtual classes in the Learning Management System (LMS).
- Online classes are easily accessible on all devices including Smart Phones.
- No working experience required
- Comprehensive 250+ Hours of virtual classes.
- Experienced Faculty of National and International Repute
- Well developed course material with Presentations.

OBJECTIVES OF THE ONLINE COURSE

The certificate course will:

- Provide you with a detailed knowledge and understanding of a range of areas within Business and Financial Laws from the perspectives of Indian Business Laws.
- Provide you with knowledge of the basic principles of business and their relevance for corporate law. This is essential for anyone who wants to pursue a career in Corporate Law.
- Provide an approach to understanding the commercial pragmatism which impacts the decisions that businesses need to make and help develop critical responsiveness of problems in a range of areas of Business and financial Laws.
- Provide the knowledge on the functioning of various agencies by covering the laws, rules and regulations on the subjects.



COURSE COVERAGE

Part -1 : Business Laws

MODULES	UNITS
Module-I INTRODUCTION TO LAW AND CORPORATE LAW IN INDIA	Orientation Introduction to Blackboard Ultra & Its Features Unit-1: Introduction to Law and Corporate Laws in India Unit-2: A Birds Eye view of Companies Act, 2013 Unit-3: Introduction to Financial Laws in India including IBC 2016
Module-II PROSPECTUS AND ALLOTMENT OF SECURITIES	Unit-1: Prospectus and Allotment of Securities: Concept, Types & Legal Provisions Unit-2: Introduction to SEBI and SAT Unit-3: Securities Contract Regulations Act, 1955 Unit-4: Public Offer vs. Private Placement Unit-5: Securities in Demat Format - Depositories Act, 1996
Module-III SHARE CAPITAL AND DEBENTURES	Unit-1: Share Capital : Concept, Kinds and Nature Unit-2: Debentures: Concept, Nature and Kinds Unit-3: Variation of Shareholders Rights & Shareholders Agreements Unit-4: Reduction and Buyback of Share Capital Unit-5: Maintenance of Share Capital
Module-IV ACCEPTANCE OF DEPOSITS BY COMPANIES	Unit-1: Acceptance of Deposits: Concepts, Types & Legal Provisions Unit-2: Prohibitions and Punishments under the Companies Act, 2013
Module-V REGISTRATION OF CHARGES	Unit-1: Registration of Charges: Background, Types and Legal Provisions under the Companies Act, 2013 Unit-2: Compliances, Procedures and Issues in Registration of Charges
Module-VI MANAGEMENT AND ADMINISTRATION	Unit-1: Introduction to Directors and Board Meeting Unit-2: Shareholder's Meetings Unit-3: Shareholder's Agreement and Related Party Transactions Unit-4: Oppression and Mismanagement Including Class Action Suits Unit-5: Auditors: Appointment, Removal, Powers and Duties
Module-VII ACCOUNTS OF COMPANIES	Unit-1: Constitution of National Financial Reporting Authority: Composition and Functions Unit-2: Indian Accounting Standards: Practices and Procedures Unit-3: Books of Accounts: Rules and Procedure for Maintenance Unit-4: Audit and Auditors: Types, Powers, Duties and Functions of Auditors
Module-VIII COMPROMISES, ARRANGEMENTS AND AMALGAMATIONS	Unit-1: Restructuring & Re-Organization Unit-2: Mergers & Amalgamation Unit-3: Acquisition & Take-Over Unit-4: Land mark cases
Module-IX BASIC CONCEPTS OF VALUATION & REGISTERED VALUERS	Unit-1: Valuation: Applications, Methods & Processes Unit-2: Valuation: Applications, Methods & Processes - 1 Unit-3: Property Valuation: Tangibles and Intangibles Unit-4: Property Valuation: Tangibles and Intangibles - 1 Unit-5: Registered Valuers under the Companies Act, 2013.
Module-X WINDING-UP OF THE COMPANIES	Unit-1: Winding Up: by National Company Law Tribunal (NCLT) Unit-2: Official Liquidator: Role, Appointment & Responsibility Unit-3: Land mark cases

COURSE COVERAGE

Part -1 : Business Laws

MODULES	UNITS
Module-XI NCLT, NCLAT & SPECIAL COURTS	Unit-1: NCLT: Composition, Practices and Procedures Unit-2: NCLAT: Composition, Practices and Procedures Unit-3: Special: Practices and Procedures Unit-4: Machinery under the Companies Act, 2013 Unit-5: Land mark cases
Module-XII PUNISHMENTS	Unit-1: Types of Offences under the Companies Act, 2013 Unit-2: Liability of Directors and Key Managerial Persons (KMP) under Companies Act, 2013 Concept of Officer in Default. Unit-3: Penalties and Compounding of Offences
Module-XIII THE INDIAN CONTRACT ACT, 1872 & SPECIFIC RELIEF ACT, 1963	Unit-1: Contracts and Tenders: Essentials and Types Unit-2: Special Contracts: Indemnity and Guarantee Unit-3: Special Contracts: Agency, Bailment and Pledge
Module-XIV THE PARTNERSHIP ACT, 1932	Unit-1: Partnership: Concept and Nature Unit-2: Registration: Process and Effects of Non-registration Unit-3: Partners: Duties, Authority, Liability and Dissolution
Module-XV THE SALE OF GOODS ACT, 1930	Unit-1: Sale of Goods: Concept, Essentials and Application Unit-2: Sale, Condition, Warranty, Seller's Lien and Damages. Unit-3: Implied warranties and Conditions
Module-XVI THE TRANSFER OF PROPERTY ACT, 1882	Unit-1: Transfer of Property by Parties Unit-2: Transfer of Moveable and Immovable Properties including various doctrines. Unit-3: Mortgage: Features, types and Procedures Unit-4: Lease: Features and types and Procedures Unit-5: Sale: Features, types and Procedures Unit-6: Gift, Exchange and Actionable Claims
Module-XVII THE NEGOTIABLE INSTRUMENTS ACT, 1881	Unit-1: Meanings of various negotiable instruments and their differences, Unit-2: Negotiation and assignability of instruments Unit-3: Presentment and dishonour of instruments
Module-XVIII THE ARBITRATION AND CONCILIATION ACT, 1996	Unit-1: Introduction to Arbitration: Concept, Essential features Unit-2: Arbitration Agreement: Modalities and interference of Judiciary Unit-3: Online Dispute Resolution: Concept and Features Unit-4: International Commercial Arbitration including UNCITRAL Model on Insolvency Law
Module-XIX THE LIMITATION ACT, 1963	Unit-1: Concept of Limitation & Computation Unit-2: Limitation of Suits, Appeals and Applications Unit-3: The Schedule - Period of Limitation Unit-4: Land mark cases
Module-XX LIMITED LIABILITY PARTNERSHIP ACT, 2008	Unit-1: LLP: Nature, Partners and their Relations Unit-2: Limitation of Liability and Financial Disclosures Unit-3: Restructuring and Liquidation of LLP.

COURSE COVERAGE

Part -2 : Financial Laws

MODULES	UNITS
Module-I THE INSOLVENCY AND BANKRUPTCY CODE, 2016 INCLUDING RULES AND REGULATIONS UNDER THE INSOLVENCY AND BANKRUPTCY CODE, 2016	Unit-1: Role of Adjudicating Authorities Unit-2: Role of the Insolvency and Bankruptcy Board of India (IBBI) Unit-3: Role of Insolvency Professional Agencies and Insolvency Professionals Unit-4: Role of Information Utilities Unit-5: Appellate Authorities and analysis of some important cases Unit-6: Initiating an application for Resolution and role of Interim Resolution Professional Unit-7: Committee of Creditors: Powers, Duties and Processes Unit-8: Information Memorandum and Resolution plan Unit-9: Fast Track Resolution Unit-10: Moving from Resolution to Liquidation including voluntary liquidation Unit-11: Role of IPs as a liquidator and liquidation process Unit-12: Liquidation Estate: Determination of Claims Unit-13: Fresh Start Process and Insolvency Resolution Process Unit-14: Bankruptcy Order & Role of IP in managing claims and Bankruptcy Estate
Module-II THE RECOVERY OF DEBTS DUE TO BANKS AND FINANCIAL INSTITUTIONS ACT, 1993	Unit-1: Debt Recovery Tribunal (DRT): Establishment & Composition Unit-2: DRAT: Establishment & Composition of Appellate Tribunal Unit-3: Jurisdiction, Practices and Procedure before the Tribunal & Appellate Tribunal Unit-4: Land mark cases
Module-III THE SECURITIZATION AND RECONSTRUCTION OF FINANCIAL ASSETS AND ENFORCEMENT OF SECURITY INTERESTS ACT, 2002	Unit-1: SARFEASI: Concept and Coverage under the Act Unit-2: Rights of Borrowers & Pre-conditions Methods of Recovery Unit-3: Powers of DRT, CMM, DM & High Court Unit-4: Land mark cases
Module-IV FINANCIAL MARKETS	Unit-1: Financial Markets: Structure, Role & Products Unit-2: Types of Financial Markets: Money Market, Bond Market, Credit, Stock and Derivative Markets. Unit-3: FEMA: Features and Legal Provisions
Module-V SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2018	Unit-1: Common Conditions for Public Issues and Rights Issues Unit-2: Initial Public Offer (IPO) - Eligibility for Public, Further Offers and Rights Issue Unit-3: Manner of Disclosure in Offer Document Unit-4: General obligations of an issuer and intermediaries with respect to public issue and rights issue Unit-5: Conditions and manner of providing exit opportunity to dissenting shareholders
Module-VI SEBI (DELISTING OF EQUITY SHARES) REGULATIONS, 2009	Unit-1: Delisting of Equity Shares: Concept and Essentials Conditions Unit-2: Voluntary and Compulsory Delisting Unit-3: Small Companies and Delisting of Equity Shares
Module-VII SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	Unit-1: Substantial acquisition of shares: Concepts, Voting Rights and Control. Unit-2: Disclosures of Shareholding and Control Unit-3: Land mark cases
Module-VIII SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015	Unit-1: Principles governing disclosures & obligations of listed entity Unit-2: Common obligations of listed entities under LODR Regulations, 2015 Unit-3: Duties and obligations of the Recognized Stock Exchange and Procedure for action in case of default
Module-IX FINANCE & ACCOUNTS	Unit-1: Introduction to Corporate Finance Unit-2: Introduction to Financial Analysis Unit-3: Concept of Liquidity Management Unit-4: Tax Planning Unit-5: Goods and Service Tax (GST)
Module-X CASE LAWS	Important Decisions of Supreme Court and High Courts, Decisions of NCLAT and NCLT relating to Corporate Insolvency Resolution, Corporate Liquidation, Voluntary Liquidation and Fast Track Resolution Process.

EXAMINATION & ASSESSMENT

The online certification provides for a continuous assessment where the learners will get an opportunity to learn, to understand and self-assess their skills and knowledge. Thus, the learning will be holistic in nature through scheduled live-virtual classes by the subject matter experts for each of 30 modules.

The Assessment would be done on the following parameters:

S. NO.	EXAMINATION	WEIGHTAGE (%)
1	Online exams (after end of each module (30 tests) in MCQ Mode)	30
2	Project Report (online submission and presentation)	20
3	Online Final Examination at the end of nine months in MCQ Mode	50
	Total Weightage	100

At the end of the course the learners would earn:

- * Certificate of Participation (who complete the whole course without online final examination)
- * Certificate of Completion (who completes the whole course including the online final examination at the end of the course)

ELIGIBILITY AND FEE STRUCTURE

The applicant must be a graduate in any stream (including 4th year students of 5 year professional course in law) from any recognized University/Educational Institute.

CATEGORY	SPONSORED [#]	INDIVIDUAL PROFESSIONALS & STUDENTS [*]
Tuition Fee	INR 60,000	INR 45,000
Exam Fee	INR 3,000	INR 3,000
Taxes [#] (18%)	INR 11,340	INR 8,640
Total	INR 74,340	INR 56,640

Subject to latest notification of Government of India on the date of the payment.





* **Early Bird Discount:** Candidates registering on or before 31st May 2023 would get a discount of Rs. 3,000/- i.e. if the fee is Rs. 56,640 with applicable GST you have to pay Rs. 53,100/- with applicable GST if the payment is made on or before 31st May 2023.

Multiple Registration: Discount only for sponsored candidates: 20% discount on the course fee for every next participant from the same organization (for instance if ABC Co. recommends two candidates fees paid would be Rs. 74,340/- for the first candidate and Rs. 59,472/- for the second and thereafter.

COURSE FEE ONCE PAID IS NOT REFUNDABLE IN ANY CIRCUMSTANCE AFTER REGISTRATION IS CONFIRMED

REGISTRATION & PAYMENT

Interested applicants may register themselves by following the procedure as follows:

1. To Register: [CLICK HERE](#)
2. Make Payment. (Payment Mode: Online)
3. Update your profile post registration by clicking on the link given at the bottom of the registration page.
4. Email the copy of Bachelor's Degree and Valid Student ID (in case of student category) to scl@iica.in



For more details, Contact us:

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CORE FACULTY*

Prof. Sunderram Korivi Adjunct Faculty, IICA	Mr. G. P. Madaan Madaan Law Offices, New Delhi	Prof. Ravi Agarwal Vice Dean (Academic), Jindal Global Business School	Mr. M. Sathya Kumar Chartered Accountant, Chennai
Mr. Vaibhav Gaggar Managing Partner, Gaggar and Associates	Dr. T. K. Vishwanathan Chairperson, Bankruptcy Law Reform Committee, Govt. of India	Mr. N.K Jain Partner, Global FinServe LLP	Mr. Anand Abhishek Mishra CS/Legal, Arcelor Mittal Indian Pvt. Ltd.
Mr. Parveen Kumar Partner, ASA & Associates LLP, Chartered Accountant	Ms. Anjali Agarwal, Vice President, Corporate Professionals	Mr. Ashish Makhija Advocate, Supreme Court of India	Mr. Anuroop Omkar & Mrs. Kritika Krishna Murthy, Bridge Mediation
Mr. Hemant Kumar CICP, Deloitte Touche Tohmatsu India Pvt. Ltd.	Mr. Satwinder Singh Partner, Vaish Associates	Mr. Deepak Kukreja Corporate Professionals, New Delhi	Mr. Kartik Jain Associate, J Sagar & Associates
Mr. Tushar Raut, Veritas Legal	Mr. Kunal Mehri Partner, Dua Associates, Gurgaon	Mr. Mathew Thomas, Sr. Associate, Shardul Amarchand Mangalsad & Co.	Mr. Amit Sikri Associate Company Secretary, New Delhi
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*Subject to there availability



ABOUT IICA

The Indian Institute of Corporate Affairs (IICA), an autonomous institute, works under the aegis of the Ministry of Corporate Affairs to deliver opportunities for research, education, and advocacy. It is a think tank that curates a repository of data and knowledge for policy makers, regulators as well as other stakeholders working in the domain of Corporate Affairs. Key activities carried out by IICA include induction and in-service training to Indian Corporate Law Service (ICLS) officers, capacity –building and training programmes, policy advisory functions, public outreach and stakeholder consultants through seminars, conference and forums. IICA also supports Ministry of Corporate Affairs (MCA) initiatives on all matters relating to Corporate Affairs. Anticipation of corporate needs for effective functioning, reviewing corporate laws for effective amendments to cater to evolving business environments, exploring new paradigms such as investor education, and periodic reviews and updation of definitions, components and activities related to corporate governance are other prominent initiatives of the Institute.



COURSE DIRECTOR

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