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**Program Name: IICA-DPE 5 Day Residential Program on "Effectiveness of Compliance Management focusing DPE Guidelines, Companies Act 2013 and SEBI Regulation for Better Governance and Responsible Business for New India"**

**Program Date: 07<sup>th</sup> Nov to 11<sup>th</sup> Nov 2022**

**Venue: Imphal, Manipur**

**No. of participants: 36**

**Participant Profile: AGMs, DGMs, Managers & Other Senior Officials of CPSEs**

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## **PROGRAMME OUTLINE**

The Indian Institute of Corporate Affairs (IICA) with department of Public Enterprises (DPE) concluded a five day program on "Effectiveness of Compliance Management focusing DPE Guidelines, Companies Act 2013 and SEBI Regulation for Better Governance and Responsible Business for New India" from 07<sup>th</sup> Nov to 11<sup>th</sup> Nov 2022 at Imphal, Manipur.

Program was organized by School of Corporate Governance & Public Policy, **Indian Institute of Corporate Affairs** in Classic Grande in Imphal where 36 Senior Professionals from 28 CPSEs participated in the Program.

IICA designed this five days workshop with the purpose for Appraise the frameworks underlying Corporate Governance norms and practice in the Indian context and to teach global best practices on Corporate Governance to the participants. Workshop also designed with an objective to ensure engagement on governance issues in company direction, ensuring that the pursuit of strategic objectives is in line with regulatory requirements and best practices. As the name indicates workshop covered latest global trends of Mergers and acquisitions inclusive disinvestment and compliances under SEBI regulations for PSUs Including Kotak Committee Recommendations and Changes in LODR.

The Course put emphasis on financial and legal part and Rules, regulations and SEBI guidelines for mergers and acquisitions and covered the following dimensions:

- Corporate Governance Essentials: Moving beyond compliances
- Companies Act 2013: Overview of Key Compliances
- Board of Director: Types, Appointment, Remuneration & Powers
- SEBI (LODR): Overview & Key compliances for Listed Entities
- KMPs: Appointment, Remuneration & Responsibilities
- Audit & Auditors
- Corporate Reporting: Various Reports (Board/CSR/Annual Report)
- Corporate Social Responsibility: Key Provisions & Compliances
- Secretarial Standard 1: Meetings of Board of Directors
- Secretarial Standard 2: General Meetings
- Responsible Business Conduct & BRSR
- Below Board Level Officer's & Art of Influencing
- Insights from Financial Statements for Sharpening Financial Intelligence
- Developing Fraud Prevention & Detection Mechanism as per SEBI Regulations
- Below Board Level Executives Role in Managing Enterprise Risk
- Managing Corporate Compliances for CPSEs
- Public Procurement for Good Governance & Risk Management

- Vendor Management for Effective & Ethical Procurement

Program was delivered with the mix of faculty from IICA as well as Industry Experts for making it more experiential and interactive.

The pedagogy of the program was based on class room sessions, experiential learning, case studies and evidence based learning for helping those seeking answers to the challenging questions and aspects.

## PROGRAMME FLOW

The five day workshop on “**Effectiveness of Compliance Management focusing DPE Guidelines, Companies Act 2013 and SEBI Regulation for Better Governance and Responsible Business for New India**” was inaugurated with opening remarks by and **Dr. Niraj Gupta**, Head, School of Corporate Governance & Public Policy, IICA who welcomed the participants.

Characterized by interactive sessions, presentations and case studies, the programme was facilitated by **Mr. G. P Madaan**, Managing Partner, Madaan Law Office, **Professor Ahindra Chakrabarti**, Director Great Lakes Institute of Management, Mr. Rajesh Batra, Centre Head – MSME, IICA and **Dr. Niraj Gupta**, Head, SoCGPP, IICA.

The programme was concluded with closing session & remarks with certification ceremony. Mr. Manoj Singh, Chief Program Executive, School of Corporate Governance & Public Policy coordinated the program. For more details, he can be contacted at [manoj.singh@ica.in](mailto:manoj.singh@ica.in)



Image 1: Group Photograph



Image 2: Mr. G. P. Madaan, delivering session on SEBI (LODR): Overview & Key Compliances for Listed Entities