

IICA - IEPFA Roundtable on “Investment Protection @ Investor Awareness: Stakeholders' Perspectives and Way Forward” on 26th April 2019 at New Delhi

The Roundtable on Investor Protection @ Investor Awareness: Stakeholders’ Perspectives and Way Forward was organized on Friday, 26th April 2019 at 11.00 A.M. at the Juniper, India Habitat Centre, New Delhi. The roundtable was a part of the ongoing IEPF Project by the Indian Institute of Corporate Affairs (IICA) and Investor Education and Protection Fund Authority (IEPFA).

Investor education is a key strategy for enhancing investor protection, promoting investor’s confidence and fostering investor engagement in financial planning and decision-making. The IEPFA had engaged IICA for an investor education and protection project with the following objectives:

- (i) Communication needs assessment of investors,
- (ii) Developing communication material, and
- (iii) Increasing awareness among investors

As a part of the project, a nation-wide survey was conducted by IICA on Assessment of Financial Capability in India in 2018. The roundtable was held primarily to validate the survey findings and deliberate upon the recommendations that followed in the report.

The programme commenced with welcome address by Shri Santosh Parashar, Chief Programme Executive, Integrated Advocacy Fulcrum, IICA followed by theme address of Dr. Kamakhya Narayan Singh, Director and CEO, National Centre for Financial Education. Shri AshishKumar Chauhan, MD & CEO, Bombay Stock Exchange Ltd. addressed on Capital Market Response to Investor Awareness initiatives in India, followed by address on Role of Investor Education and Protection Fund Authority by Shri Anurag Agrawal, Joint Secretary, Ministry of Corporate Affairs and CEO, IEPFA.

The key note address was delivered by Dr. Sameer Sharma, DG & CEO, IICA and Vote of Thanks was proposed by Shri Navneet Chouhan, General Manager, IEPFA. Draft IICA National Survey Report on Financial Capabilities of Investors 2018 was distributed to the participants and followed presentation on Survey Findings & Action Taken by Dr. Naveen J Sirohi Head, School of Finance, IICA and Shri Saurabh Kant, VP, Karvy Insights Ltd. Ms. Kitayun Rustom, CERE and Ms. Asha Hulswar, TATA Capital gave a presentation on DhanGyan App.

The panel discussion on Investor Awareness & Protection in India and Global Experiences: Expert’s talk for way forward was moderated by Dr. Naveen J Sirohi, Head, School of Finance, IICA and panellists were Ms. Monika Halan, Consulting Editor, Mint, HT Media, Prof. Bejon

Mishra, International Consumer Policy Expert and Board Member, Jago Grahak Jago, Shri Manish Chouhan, Founder, Jago Investors and Shri Aditya Gadge, Founder, AIWMI.

An open house session on Investor Awareness & Protection: Introduction, Initiatives, Opportunities & Overcoming Challenges followed the panel discussion.

The roundtable was well attended by nearly 90 delegates who were invited from Ministry of Corporate Affairs, IEPFA, SEBI, RBI PFRDA, IRDA, NCFE, ICLS Academy, NSE, BSE, MCX, LIC of India, NSDL, CDSL, TATA Capital, SHCIL, ICAI, ICSI, ICMAI, FICCI, CII, ASSOCHAM, AMFI, PHD Chamber of Commerce and Industry, and representatives of Press and Electronic Media. The key discussion highlights of the roundtable were well covered by various media / channels.